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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
KONISHI, KENTARO, et al.

Appln. No.: 09/919,989 Group Art Unit: Not yet assigned
Confirmation No.: 6406 Examiner: Not yet assigned
Filed: July 30, 2001
For: TIME-SERIES DATA PROCESSING DEVICE AND METHOD

SUBMISSION OF EXECUTED DECLARATION

ATTN: BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Repln. Ref: 10/19/2001 SDUDNG 0007223900
DAH:194880 Name/Number:09919989
FC: 704 \$49.00 CR

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," for the above application, Applicants respectfully submit herewith the Declaration and verified English language translation for the above identified application properly executed by the inventors. Also enclosed please find a Submission of Priority Document and an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$65.00, Assignment recordation fee of \$40.00 and Non-English Specification Fee of \$130.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Respectfully submitted,

Richard C. Turner
Registration No. 29,710

Date: October 4, 2001

10/18/2001 SDUDNG 00000001 194880 09919989

01 FC:202 42.00 CH
02 FC:203 9.00 CH

Adjustment date: 10/19/2001 SDUDNG
08/06/2001 DEE333 00000021 09919989
02 FC:202 -40.00 DP
03 FC:203 -9.00 DP

Adjustment date: 10/19/2001 SDUDNG
10/18/2001 SDUDNG 00000001 194880
01 FC:202 42.00 CR
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,989	07/30/2001	Kentaro Konishi	Q65606

CONFIRMATION NO. 6406

FORMALITIES LETTER



OC000000006539468

GHUE, MION, ZINN
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, DC 20037-3213

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 195.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice MUST be returned with the reply.

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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application of:

KONISHI, KENTARO, et al.

Appln. No.: 09/919,989

Group Art Unit: Not yet assigned

Confirmation No.: 6406

Examiner: Not yet assigned

Filed: July 30, 2001

For: TIME-SERIES DATA PROCESSING DEVICE AND METHOD

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith please find 73 sheet(s) of formal drawings. The Examiner is respectfully requested to acknowledge receipt of these formal drawings.

Respectfully submitted,

Richard C. Turner
Registration No. 29,710

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